



April 3, 2009  
Reinvestment Grant Webinar  
Agenda  
2:30 p.m. - 4:30 p.m.

Meeting URL: <http://www.preventionon-line.net/r19226147/>

Call in number: **877-394-0659** Passcode is: **784550**

1. Welcome 2:30 p.m.  
*David L. Miller, Chair*
2. Overview of Annual Report 2:45 p.m.  
*Ellen Piekalkiewicz, Executive Director*  
*John Petrila, Technical Assistance Center Director*  
*Jimmers Macallef, DCF*
3. Second Chance Act Overview and 3:00 p.m.  
State Initiatives  
*Mike Thompson, Council of State Governments*
4. State Agency Review of Current Initiatives 3:20 p.m.  
Department of Corrections  
Department of Juvenile Justice  
Department of Children and Families  
State Court Administrator  
Department of Elder Affairs  
Agency for Healthcare Administration
5. Overview of Grant Site Visits 3:50 p.m.  
*Bob Neri, Westcare, Inc.*
6. Discussion and Questions 4:00 p.m.
7. Adjourn 4:30 p.m.

# Criminal Justice, Substance Abuse & Mental Health Reinvestment Grant

Ellen Piekalkiewicz, Executive Director  
Florida Substance Abuse & Mental Health Corporation  
John Petrilu  
Florida Mental Health Institute, University of South Florida

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## Introduction

- In its first year, the Reinvestment Grant Program has laid the foundation for shifting the focus of care of offenders with mental health and/or co-occurring substance abuse disorders from the most expensive, deep end of the system to early intervention and diversion.
- Strategic plans have been created where none existed and assessment and treatment resources designed to divert people from the criminal justice system have been expanded.
- These changes would not have occurred without the stimulus provided by the Reinvestment Act.

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## Florida Substance Abuse & Mental Health Corporation

The Florida Substance Abuse & Mental Health Corporation is a non-profit corporation created by the Legislature to oversee the state's publicly funded substance abuse & mental health services. The Governor, President of the Senate and Speaker of the House appoint its 12 directors.

The Corporation is charged with making recommendations to the Governor and the Legislature on policies designed to improve coordination and effectiveness of the state's publicly funded mental health and substance abuse systems.

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## Technical Assistance Center Florida Mental Health Institute at the University of South Florida

- **Assisting Counties in projecting and monitoring the effect of a grant funded intervention on the criminal justice system**
- **Clearinghouse for information on best practices and other information relevant to criminal Justice, juvenile justice, mental health and substance abuse services and supports**

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## Highlights

- Grants are in their eighth month of the first year of funding
- The 12 counties with planning grants are developing criminal justice strategic plans
- In the 11 counties with implementation grants, assessment and treatment services have been expanded. The specific programs funded include centralized assessment centers, jail and court based diversion programs, community diversion teams and mental health courts

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Florida Criminal Justice, Mental Health and Substance Abuse Technical Assistance Center



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➤ The legislative requirement for a full county match is an important feature of the grant program because in FY 2007-2008 it more than doubled the amount of funding that would have been available had this been a wholly state-funded program. Table 1 displays the total amount of state awards and county match for FY 2007-2008, as well as the amount of state funds released for the year. Note that the "Grant award" amount is for the full term of the grant, which is one year for planning grants and three years for implementation grants. For the latter, funds are released in one-year increments over three years.

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Table 1

CRIMINAL JUSTICE, SUBSTANCE ABUSE AND MENTAL HEALTH REINVESTMENT GRANTS			
County	Grant Award	County Match	Fiscal Year 2007-2008 State Funds Released
Implementation Subtotal	\$8,746,049	\$11,838,977	\$2,993,629
Planning Subtotal	\$856,371	\$825,799	\$856,371
<b>TOTAL</b>	<b>\$9,602,420</b>	<b>\$12,664,776</b>	<b>\$3,850,000</b>

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Table 2

PLANNING GRANTS - 1 YEAR AWARDS			
County	Grant Award	County Match	Fiscal Year 2007-2008 Funds Released
Charlotte	\$60,190	\$60,190	\$60,190
Citrus	\$50,166	\$50,166	\$50,166
Duval	\$91,200	\$93,319	\$91,200
Flagler	\$40,447	\$23,061	\$40,447
Lake	\$60,000	\$60,000	\$60,000
Marion	\$59,000	\$68,587	\$59,000
Martin	\$100,000	\$100,000	\$100,000
Monroe	\$92,568	\$92,568	\$92,568
Osceola	\$87,500	\$87,500	\$87,500
Palm Beach	\$100,000	\$100,000	\$100,000
Sumter	\$50,000	\$25,000	\$50,000
Volusia	\$65,300	\$65,408	\$65,300
Planning Subtotal	\$856,371	\$825,799	\$856,371

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Table 3

IMPLEMENTATION GRANTS - 3 YEAR AWARDS			
County	Grant Award	County Match	Fiscal Year 2007-2008 Funds Released
Alachua	\$999,000	\$999,000	\$333,000
Broward	\$991,368	\$991,368	\$330,456
Hillsborough	\$999,999	\$1,000,000	\$333,333
Lee	\$997,698	\$2,030,473	\$332,566
Leon	\$792,624	\$890,469	\$264,208
Miami Dade	\$999,000	\$999,000	\$333,000
Nassau	\$225,000	\$225,000	\$75,000
Orange	\$954,663	\$2,476,788	\$318,221
Pinellas (one year award)	\$117,419	\$117,419	\$117,419
Polk	\$980,706	\$1,021,530	\$326,902
St. Lucie	\$688,572	\$1,087,929	\$229,524
Implementation Subtotal	\$8,746,049	\$11,838,877	\$2,993,629

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Focus

Men and women with...

- Serious mental illness, and often
- Co-occurring substance use disorders
- Involved in the criminal justice system

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Purpose of the Grant

Provide funding to counties with which they can plan, implement, or expand initiatives that increase public safety, avert increased spending on criminal justice, and improve the accessibility and effectiveness of treatment services for adults and juveniles who have a mental illness, a substance abuse disorder, or co-occurring mental health and substance abuse disorders and who are in or at risk of entering, the criminal or juvenile justice systems  
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## The Problem

➤ Many of the same people are served in multiple systems:

- Mental health
- Substance abuse
- Criminal justice
- Other social services



➤ Expensive - high service users, people who cycle and recycle through the system

**SOLUTION: Cross-Systems Coordination**

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## Planning Grants

- Planning grants are for one year
- They are designed to develop effective collaboration efforts among county criminal, juvenile justice, treatment, transportation and housing agencies
- The desired result is a strategic plan for treating adults and juveniles in or at risk of entering the justice systems

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## Five Key Points of Interception

1. Law enforcement / Emergency services
2. Booking / Initial court hearings
3. Jails / Courts
4. Re-entry
5. Community corrections / Community support

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## Sequential Intercept Model Basis for Cross-Systems Mapping

- A tool to ...
  - Help transform fragmented systems
  - Identify local resources, gaps and
  - Help identify where to begin interventions

**Cross-Systems Mapping is an activity which depicts contact/flow with the criminal justice system.**

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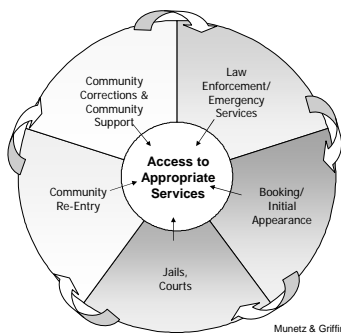
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## Sequential Intercept Model: A Circular View



Munetz & Griffin, 2006

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## Citrus County Planning Grant

- Contracted with a consultant to facilitate the creation of its strategic plan
- Focus of the plan will be on transportation, development of accurate cost data for inmates receiving treatment of mental health and substance abuse disorders and assisting inmates with mental disorders in their re-entry to the community
- Plans to expand Crisis Intervention Training for law enforcement officers

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### Charlotte County Planning Grant

- Completed the sequential intercept mapping process
- Developed a monthly newsletter
- Established several active workgroups on discharge planning, public education and funding
- Plans to expand us of Crisis Intervention Training for law enforcement officers

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### Flagler County Planning Grant

- Completed Sequential Intercept Mapping
- Explored expansion of Crisis Intervention Training for law enforcement
- Identified low-cost solutions to specific issues that affect access to services

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### Lake County Planning Grant

- Completed Sequential Intercept Mapping
- Planning Council meeting twice monthly
- Reviewing evidence-based practice models for jail diversion
- Focusing on sustainability

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### Duval County Planning Grant

- Using Sequential Intercept Mapping as a foundation for its strategic plan
- A review of evidence-based and best practices is being conducted

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### Martin County Planning Grant

- Conducted Sequential Intercept Mapping
- Working with the Technical assistance Center to identify “heavy users” of services in the criminal justice and treatment systems
- Planning group has been meeting regularly

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### Monroe County Planning Grant

- Retained a project director to oversee the planning process
- Sequential Intercept Mapping has been completed
- Focusing on needs and resource assessment, evidence-based practice review, data collection and communication, supported housing and employment and sustainability

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### Marion County Planning Grant

- Established a Management team to oversee grant activities
- “Milestone One” meeting to provide an overview of the planning process to all affected parties
- Participated in Sequential Intercept Mapping
- Needs assessment is being conducted by the Well Florida Council

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### Palm Beach County Planning Grant

- Hired consultants to facilitate its planning process
- A report to serve as the basis for the strategic plan has been presented to the planning council
- Created subcommittees on courts, corrections, social services, and other issues that are congruent with the intercept points of the Sequential Intercept Model

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### Sumter County Planning Grant

- Planning council has been meeting regularly
- Sequential Intercept Mapping will occur in late January

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### Osceola County Planning Grant

- Planning committee meets one a month
- Focused on a target population for intervention, data sharing and data systems, various “intercepts” at which interventions might occur and funding recommendations
- Draft strategic plan has been completed
- Needs assessment has been completed

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### Volusia County Planning Grant

- Retained a consultant to facilitate its planning process
- A system map has been completed and presented to the planning council and the strategic plan will be completed in early 2009
- Created a data profile for potential target populations; identified and mapped relevant resources and capacity within the county and identified best practices in treatment and diversion

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### Implementation Grants

- Implementation grants are for a three year period
- Support the development or expansion of diversion and program initiatives

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## Alachua County Implementation Grant

- Created a forensic diversion team
- Individuals in jail are screened for eligibility for the team's services
- Treatment and oversight provided by the forensic team are designed to reduce recidivism and improve treatment adherence
- At the request of the county the TA Center has developed an electronic data base that enables tracking of criminal justice and treatment outcomes for individuals who are screened for possible admission to the forensic team
- Received training from the TA Center on federal & state privacy laws and risk assessment methods

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## Lee County Implementation Grant

- Created the Triage Center/Low Demand Shelter
- This offers an alternative to jail for individuals who may have a mental illness
- The center provides assessment and referral to treatment as appropriate
- Between April 28, 2008 and September 30, 2008 the Center received 202 referrals from law enforcement , and 61% of those individuals were successfully linked to housing and/or community services

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## St. Lucie County Implementation Grant

- Expand Mental Health Court
  - Hired additional treatment staff, including two case managers who will serve individuals enrolled in the mental health court
    - Only 4% of mental health court graduates have been rearrested
  - Increased Crisis Intervention Training as part

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### Alachua County Implementation Grant

- Created a forensic diversion team
  - 23 Individual enrolled
  - Treatment and oversight are designed to reduce recidivism and improve treatment adherence
- Received training on federal and state privacy laws
- County is keeping track of client compliance with medication, independent living and employment

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### Broward County Implementation Grant

- Established very specific goals regarding recidivism: increased access to treatment services, client retention in treatment and better mental health status as reflected in decreased substance abuse and alleviation of symptoms of mental illness as measured on validated scales
- The primary service provider became unavailable
- As a result Broward will submit a revised plan to the SAMH Corporation

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### Hillsborough County Implementation Grant

- Expanded an existing Forensic Intensive Case Management diversion program created in 2007. This program is specifically used to prevent forensic commitments to state hospital beds
- Funding is being used to develop a data system to track program outcomes
- The program has resulted in decreased commitments to forensic beds from the county

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### Lee County Implementation Grant

- Created the Triage Center / Low Demand Shelter which became operational on April 28, 2008
- The Center provides an alternative to jail for individuals who may have a mental illness
- Provides assessment and referral to treatment as appropriate
- Provides shelter based on need

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### Leon County Implementation Grant

- Expanded and created six (6) programs
  - Mental health pretrial release program
  - Mental health probation for both county and state probation
  - Misdemeanor and felony mental health court
  - Community competency restoration
  - Enhancement of Crisis Intervention Team training
  - All programs are operational

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### Miami-Dade Implementation Grant

- Expand the Criminal Mental Health Project
  - Court-based program to divert individuals with serious mental illnesses into treatment
  - Expansion will permit diversion of individuals charged with non-violent felonies who are judged not be a public safety risk
  - Program goal is to reduce use of state forensic beds
  - Treatment capacity has been identified for individuals diverted through the program

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## Nassau County Implementation Grant

- Expand the for mental health court caseload for misdemeanor and third degree non-violent felonies
- The mental health court has a director who screens and reviews all individuals entering the Nassau County jail for possible referral to the mental health court
- Working with TA center to create a data base that will permit tracking individual arrest and treatment outcomes

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## Orange County Implementation Grant

- Expand the Central Receiving Center
  - Triage center for people with acute mental illness or substance abuse needs referred by law enforcement or emergency rooms
- Created the ANCHOR Program
  - Residential program for individuals who have been referred to the CRC
  - Provides job skills training, assistance applying for food stamps and Medicaid and access to housing and physical health care

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## Pinellas County Implementation Grant

- One year grant
- Expand Pinellas County Mentally Ill Jail Diversion program
  - Provides quick screening of individuals in jail who might be eligible
  - Obtains a variety of treatment services for individuals as well as housing and other social programs
- County has kept recidivism data since 2004 and reports an overall 89% reduction in arrests among individuals who have been diverted into treatment compared to their arrests prior to entry in the program

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## Polk County Implementation Grant

- Expanded the capacity of a mental health misdemeanor court
  - Referrals to the court have been steady and the court operates at or near capacity
- Forensic intensive case management team
- Crisis Intervention training for law enforcement officers
- Working with the TA center to create a data base to permit tracking of client specific treatment and criminal justice outcomes

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## Local Collaboration – Examples

- Mobile Crisis Unit/ Crisis Intervention Teams or Training
- Mental Health Courts
- Federal Jail Diversion Grants
- CJMHTA Planning Council – 1<sup>st</sup> time many of the stakeholders have formally met and worked together.

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## Local Collaboration – Examples

- Mobile Crisis Unit/ Crisis Intervention Teams or Training
- Mental Health Courts
- Federal Jail Diversion Grants
- CJMHTA Planning Council – 1<sup>st</sup> time many of the stakeholders have formally met and worked together.

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## Summary

- The number of people with serious mental illness and substance abuse disorders who enter the criminal justice system is a major issue for county and state governments.
- The Reinvestment Grant Program is an innovative response to this issue.
- These grants are supporting the necessary infrastructure needed to implement the Supreme Court recommendations on mental health.

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## Summary

- Grants have been available to the 23 counties for less than a year - it is clear that the grant program already has been responsible for a number of achievements.
- First, in all counties with planning grants, a strategic plan has been completed or is in the process of being prepared.
- Nine counties have used the Sequential Intercept Mapping as a tool for creation of the strategic plan, and three other counties will have had mapping completed by the end of this month.

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## Summary

- Second, counties with implementation grants have hired staff, had contracts approved where necessary by their county commissions and are enrolling people in community-based grant-funded initiatives.
- Counties have emphasized different programs, with 4 counties focused on mental health courts, 2 counties on forensic diversion teams and other counties using jail or court-based diversion programs.

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## Summary

- Grant funds are being used to assess and/or treat individuals with mental disorders in or at risk of entering the criminal justice system.
- The TA Center will work with counties to match individuals enrolled in various grant-funded programs against Florida arrest, treatment and civil commitment records to assure the programs are successfully diverting people.

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## Summary

- The data bases being developed by a number of counties because of the grant will facilitate these analyses.
- Finally, the legislative requirement that all stakeholders must be involved in grant-funded initiatives has resulted in cross-system communication that has often been missing.

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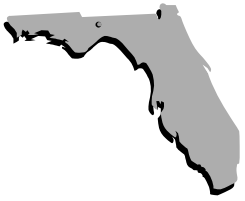
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**JUSTICE CENTER**  
 THE COUNCIL OF STATE GOVERNMENTS  
*Collaborative Approaches to Public Safety*

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Overview of Justice Center Florida  
 Activities and Second Chance Act Update



**April 3, 2009**

Hope Glassberg and Leah Kane  
 Justice Center  
 Council of State Governments

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**Justice and Mental Health Collaboration Program Project**

- Justice Center serves as technical assistance provider for Bureau of Justice Assistance (BJA) Justice and Mental Health Collaboration Program (JMHP)
- JMHP authorizing legislation requires dedication of financial resources to states where no eligible JMHP applicants received funding
- Florida a “non-grantee” state

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- Dedicating non-grantee resources to FL because of background in the state:
  - State Representative Stephen Wise member of JC Board of Directors
  - With National Institute of Corrections (NIC) support developed detailed Orange County, FL case study, online at: <http://consensusproject.org/downloads/orange-county-case-study.pdf>.
  - Ongoing partnership with FMHI

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- With BJA/NIC support, planning to work with 2-3 reinvestment grantees to better understand how people with mental illnesses move through jails
- Specifically, JC and FMHI will:
  - Assess mental health screening processes employed in jails
  - Provide training on evidence-based screen, the Brief Jail Mental Health screen
  - Conduct “funnel” analysis
  - Data match with DCF, ACHA data through FMHI

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**Next Steps**

- Counties interested in Brief Jail Mental Health Screening training, contact Hope Glassberg (hglassberg@csg.org)
- Data from activities can inform advocacy agendas/ future grant applications among reinvestment grantees

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**Federal Benefits Project**

- JC also overseeing separate project focused on Medicaid enrollment among prisoners
- Limited national data on numbers of individuals with mental illnesses who could be eligible for benefits, but have never been enrolled or applied prior to incarceration
- FMHI and JC working with DOC to develop proxy measure for Medicaid eligibility and to compare data with DCF/ACHA files to determine previous enrollment

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**Federal Benefits Project**

- Ultimately, will determine gap between individuals likely eligible and those actually enrolled in Medicaid prior to incarceration
- Will develop benefit analysis process that could potentially be adapted for jail setting or other states

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**Other Justice Center Resources for "Non-Grantees"**

- JC holding regular, free webinars for non-grantees; see [www.consensusproject.org](http://www.consensusproject.org) for more information
  - Access recent information-sharing webinar led by John Petrila at:  
<http://consensusproject.org/updates/features/hipaaweb>
- Set up and browse profiles on Criminal Justice/Mental Health Information network:  
<http://www.cjmh-infonet.org/>, online database of cj/mh resources, program examples, and media articles

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**Second Chance Act Update**

- Public Law 110-199 signed into law on April 8, 2008
- Authorizes \$165 million for prisoner reentry programs in fiscal years 2009 and 2010, including \$55 million for state and local reentry demonstration projects and \$15 million for grants to nonprofit organizations
- Purpose: to help states and communities reduce recidivism

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## Second Chance Act Update

- FY2009 - Omnibus appropriations bill provided \$25 million for Second Chance programs, including
  - \$15 million for state and local demonstration project grants (Section 101)
  - \$10 million for mentoring grants to nonprofit organizations (Section 211)
- FY2010 - President Obama requested \$75 million for Second Chance programs

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## Second Chance Act – Section 101

Authorizes grants to **state and local governments** and **federally recognized Indian tribes** that may be used for demonstration projects to promote the safe and successful reintegration into the community of individuals who have been incarcerated

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## Second Chance Act – Section 101

- Solicitation for demonstration grants targeting adults released on February 27, 2009
- Solicitation and Frequently Asked Questions available at [www.ojp.usdoj.gov/BJA/grant/SecondChance.html](http://www.ojp.usdoj.gov/BJA/grant/SecondChance.html) and on [Grants.gov](http://Grants.gov)
- Applications are due on April 20, 2009

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### Section 101: Allowable Uses

- Prerelease assessment and case planning
- Mentoring
- Housing
- Education
- Substance abuse treatment
- Mental health treatment
- Services to enhance family reunification
- Job training and readiness
- Post-release case management and supervision

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### Section 101: Application Requirements

1. A reentry strategic plan
2. The role of local governmental agencies, nonprofit organizations, and community stakeholders
3. A description of the evidence-based methodology and outcome measures that will be used to evaluate the program
4. A description of how the project could be broadly replicated if demonstrated to be effective
5. Documentation that reflects explicit support of the chief executive officer of the applicant

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### Section 101: Application Requirements

6. An extensive discussion of the role of state corrections departments, community corrections agencies, and/or local jail systems
7. Extensive evidence of collaboration with state and local government agencies overseeing health, mental health, housing, child welfare, education, substance abuse, victims services, and employment services, and with local law enforcement agencies
8. A plan for the analysis of the statutory, regulatory, rules-based, and practice-based hurdles to reintegration of offenders into the community
9. Establishment of a Reentry Task Force

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## Section 101: Budget

- Contingent upon the availability of funds and the number of individuals to be served, awards of up to \$750,000 will be made for a period of up to 12 months.
- Federal funds may not provide more than **half** of the total project budget.
- Of the remaining half of the budget, no more than half may be provided in-kind. In other words, 25% of the total project budget may be in-kind, and 25% must be in cash.

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## Section 101: Budget

Total cost of project = \$100

Match equation:

\$50 Federal Demonstration Project grant  
+ \$25 In-kind contribution from grantee (staff time, equipment)  
+ \$25 Dollar match by grantee  
  
\$100 Total cost of project

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## Helpful Resources

*Checklist for  
Demonstration Grant  
Applicants*  
Available at  
[www.reentrypolicy.org](http://www.reentrypolicy.org)



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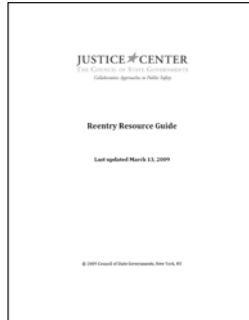
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## Helpful Resources

*Reentry Resource Guide*  
Available at  
[www.reentrypolicy.org](http://www.reentrypolicy.org)



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## Forthcoming Solicitations

- Juvenile Reentry Demonstration Projects
  - Forthcoming from the Office of Juvenile Justice & Delinquency Prevention (OJJDP), U.S. Department of Justice
- Mentoring Grants to Nonprofit Organizations
  - Forthcoming from the Bureau of Justice Assistance (BJA), U.S. Department of Justice
- To receive an announcement when new Second Chance solicitations are released, sign up for the Justice Center's Reentry Policy Council newsletter at [www.reentrypolicy.org](http://www.reentrypolicy.org).

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## Thank You

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# CJMHSA Re-Investment Grant Monitoring Report

Robert L. Neri, LMHC, CAP  
Sr. Vice President, Chief Clinical Officer  
WESTCARE FOUNDATION, INC.



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## Program Monitoring

- Involves Visiting:
  - 9 Implementation Sites
  - 6 Planning Sites



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## Counties Selected:

### IMPLEMENTATION:

Miami Dade  
Hillsborough

Alachua

Polk

Nassau

Leon

Orange

Lee

St. Lucie



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## Counties Selected:

### PLANNING:

**Palm Beach**  
**Monroe**  
**Volusia**                      **Flagler**  
**Martin**                              **Duval**



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## Program Monitoring

### METHODOLOGY:

- Key Stakeholders
- Focus Groups
- Individual Interviews
- Review Data
- Showcase Program



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## Performance Indicators:

- Achievement of Goals & Objectives
- Public Safety Coordinating Council Involvement
- Approaches For Sustainability
- Development of Effective Strategies That May Be Replicated
- Lessons Learned



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**Performance Indicators**

**cont'd:**

- **Effectively Diverting and Treating Mental Health/Substance Abuse Offenders**
- **Averting Increased Spending On Criminal and/or Juvenile Justice**



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**MAY 31, 2009**

- **Monitoring Site Visits and Reports Completed**



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